



# IOOF

## Investment option updates

### September 2023

This update contains the latest changes and announcements relating to our investments. Please review with the help of your financial adviser to understand if there are any impacts on your investments.

Fund Manager Pental	Effective date 1 October 2023
<p>IOOF Employer Super, IOOF Personal Super, IOOF Pension</p> <p>Effective 1 October 2023, Pental have changed the address of their registered office and principal place of business to the following:</p> <p>Level 18, 123 Pitt Street Sydney NSW 2000</p> <p>GPO Box 4172 Sydney NSW 2001</p> <p>The contact details of their registry provider has not changed.</p>	

<b>Investment</b> <b>UBS Defensive Fund (closed to new investments)</b> <b>USB Balanced Fund (closed to new investments)</b>	<b>Effective date</b> <b>20 September 2023</b>
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IOOF Employer Super, IOOF Personal Super, IOOF Pension

Effective 20 September 2023, UBS Asset Management as Responsible Entity issued new PDSs and TMDs for the below investment options. The changes relate to:

- A change in investment guidelines as previously notified.
- An update to the RG97 fees and costs disclosure for the period ended 30 June 2023.

APIR code	Investment option
SBC0814AU	<a href="#">UBS Defensive Fund</a>
SBC0815AU	<a href="#">UBS Balanced Fund</a>

The updated PDSs is linked above.

## Fund Manager Australian Ethical

IOOF Employer Super, IOOF Personal Super, IOOF Pension

Australian Ethical have announced the following changes to their investment team:

### Domestic Active Equities

- Australian Ethical will be appointing a Head of Active Equities to reaffirm leadership and management capabilities of the Active Equities team and have gone to market with this role.
- Australian Ethical are also hiring an Equities Analyst, to enhance the domestic equities research capability.
- For the Australian Shares Fund, while Andy Gracey will remain Portfolio Manager he will focus on the small and micro cap stock selection and Deana Mitchell will assume the role of Portfolio Manager with a focus predominantly on large cap stocks within that fund.
- In addition to the SMA Equities Portfolio, Mark Williams will assume the role of Portfolio Manager for the High Conviction Fund (replacing Mike Murray).

### Systematic Equities

- Australian Ethical will look to hire a new Head of Systematic Equities.

Australian Ethical have also announced benchmark changes for the Australian Shares Fund:

- Australian Ethical recently conducted an extensive review of the investment objectives across all of their product offerings, to provide an improved quantitative measure of expected performance.
- The Australian Shares Fund is designed to access the best of Australian Ethical large and small cap equity capabilities, to build a diversified exposure, which over the last few years has produced periods of significant positive and negative performance against the ASX300 benchmark, and a significant portion of this was attributed to the small cap exposure.
- Given the intended level of small cap exposure in the fund, Australian Ethical concluded that a melded benchmark would offer a better indication of future performance and be a closer representation of the funds intended asset mix. Hence Australian Ethical intend to update the benchmark from S&P/ASX300 to a blended benchmark comprising of 65% S&P/ASX100 and 35% S&P/ASX Small Ordinaries (effective 30 September 2023).

**ASX300 Rebalance****Effective date**  
**18 September 2023**

IOOF Employer Super, IOOF Personal Super, IOOF Pension

S&P Dow Jones Indices announced changes in the S&P/ASX 300 and All Ordinaries Indices effective 18 September 2023.

The below securities have been added to the approved product list.

ASX code	Investment option
A4N	Alpha HPA Limited
CTT	Cettire Limited
EMR	Emerald Resources NL
GMD	Genesis Minerals Limited
HCW	HealthCo HealthCare and Wellness REIT
MAQ	Macquarie Technology Group Limited
PMT	Patriot Battery Metals Inc.
SMR	Stanmore Resources Limited
VSL	Vulcan Steel Limited

The below securities have been removed from the approved product list and are closed to new money for Super and Pension clients.

ASX code	Investment option
29M	29Metals Limited
5EA	5E Advanced Materials Inc.
AAC	Australian Agricultural Company Limited
BBN	Baby Bunting Group Limited
HAS	Hastings Technology Metals Limited
IHL	Incannex Healthcare Limited
JRV	Jervois Global Limited
SBM	St Barbara Limited

Investment	Effective Date
Australian Ethical Balanced Fund (Wholesale)	15 September 2023

IOOF Employer Super, IOOF Personal Super, IOOF Pension

Effective 15 September 2023, Australian Ethical Investment Limited as Responsible Entity issued new documentation, including PDS and TMD, for the below investment. Changes relate to:

- The incorporation of a new Ethical Guide, which outlines the ethical aspects of Australian Ethical's investment process, with a focus on the Ethical Criteria they apply to their ethical evaluation of potential investments and provides material information about their consideration of environmental, social and ethical factors including labour standards in the selection, retention and realisation of investments.
- A change to the fee structure of the Investment Option, resulting in a change to the ICR as below.

APIR code	Investment Option	Former ICR	New ICR
AUG0017AU	Australian Ethical Balanced Fund (Wholesale)	0.10%	0.13%

The updated documentation is available from the Australian Ethical website [here](#).

Investment	Effective date
Perpetual Diversified Real Return Fund Class W Perpetual ESG Australian Share Fund	13 September 2023

IOOF Employer Super, IOOF Personal Super, IOOF Pension

Effective 13 September 2023, Perpetual Investment Management Limited as Responsible Entity issued a new Supplementary PDS.

For the Perpetual Diversified Real Return Fund Class W, this relates to updates made to the suggested length of investment:

APIR code	Investment option
PER0556AU	Perpetual Diversified Real Return Fund Class W

For the Perpetual ESG Australian Share Fund, this relates to a change to the maximum period of payments for withdrawals to 30 days.

APIR code	Investment option
PER0116AU	Perpetual ESG Australian Share Fund

<b>Investment</b> Plato Global Shares Income Fund	<b>Effective Date</b> 8 September 2023
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Effective 8 September 2023, Pinnacle Fund Services Limited as Responsible Entity announced a reduction in Management Fees for the Plato Global Shares Income Fund.

APIR code	Investment Option	Former Management Fee	New Management Fee
WHT0061AU	Plato Global Shares Income Fund	0.99% p.a.	0.85% p.a.

<b>Investment</b> eInvest Income Generator Fund	<b>Effective date</b> 6 September 2023
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The below investment's name changed effective 6 September 2023.

ASX code	Previous name	New name
EIGA	eInvest Income Generator Fund	<a href="#">Perennial Income Generator Fund (Managed Fund)</a>

The updated PDS is linked above.

**If you have any questions or would like further information, please contact ClientFirst on 1800 913 118 or [clientfirst@ioof.com.au](mailto:clientfirst@ioof.com.au)**

This document has been prepared on behalf of IOOF Investment Management Limited, ABN 53 006 695 021, AFSL 230524 as Trustee of the IOOF Portfolio Service Superannuation Fund (ABN 70 815 369 818) based on information that is believed to be accurate and reliable at the time of publication. Whilst every effort has been made to ensure that this information is accurate, current and complete, we do not give any warranty of accuracy, reliability or completeness, nor accept any responsibility for any errors or omissions (including by reason of negligence) and shall not be liable for any loss or damage in connection with any use of, or reliance on, the information provided. Any information is general information only and does not take into account your individual objectives, financial circumstances or needs. You should assess whether the information is appropriate for you. You should obtain a Product Disclosure Statement (PDS) relating to the financial product[s] mentioned in this communication and consider it before making any decision about whether to acquire or continue to hold [the/those] product[s]. Target Market Determinations (TMDs) for relevant products are also required to be made available and considered by distributors. A copy of the PDS (or other disclosure documents) and TMD are available upon request by phoning 1800 913 118 or by searching for the applicable product on our website at [ioof.com.au](http://ioof.com.au). IOOF Investment Management Limited is part of the Insignia Financial Group comprising Insignia Financial Ltd.